<p align="center">Quarterly Order Routing Statistics: MDB Capital Group LLC
Date: 01-OCT-2017 - 31-DEC-2017</p>

NYSE Listed Securities

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
10	100.0%	0	0.0%	0	0.0%	10	100.0%	0	0.0%									
										NYSE	8	80.0%	0	0.0%	8	80.0%	0	0.0%
										IB_ATS	2	20.0%	0	0.0%	2	20.0%	0	0.0%

Nasdaq Stock Market securities

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
1688	100.0%	0	0.0%	41	2.4%	1646	97.5%	1	0.1%									
										ISLAND	1,231	72.9%	13	31.7%	1,217	73.9%	1	100.0%
										IB_ATS	162	9.6%	12	29.3%	150	9.1%	0	0.0%
										DRCTEDGE	58	3.4%	4	9.8%	54	3.3%	0	0.0%
										UBSDARK	53	3.1%	2	4.9%	51	3.1%	0	0.0%
										BYX	38	2.3%	1	2.4%	37	2.2%	0	0.0%
										ARCA	27	1.6%	0	0.0%	27	1.6%	0	0.0%
										BATS	19	1.1%	2	4.9%	17	1.0%	0	0.0%
										NITEECN	17	1.0%	0	0.0%	17	1.0%	0	0.0%
										VIRTUDP	17	1.0%	0	0.0%	17	1.0%	0	0.0%
										CITADELDP	15	0.9%	4	9.8%	11	0.7%	0	0.0%
										NITEEXST	11	0.7%	0	0.0%	11	0.7%	0	0.0%
										BEX	10	0.6%	0	0.0%	10	0.6%	0	0.0%
										ITG	10	0.6%	1	2.4%	9	0.5%	0	0.0%
										PDQ	8	0.5%	1	2.4%	7	0.4%	0	0.0%
										JANE	5	0.3%	1	2.4%	4	0.2%	0	0.0%
										CSFBCROSS	4	0.2%	0	0.0%	4	0.2%	0	0.0%
										PSX	1	0.1%	0	0.0%	1	0.1%	0	0.0%
										EDGEA	1	0.1%	0	0.0%	1	0.1%	0	0.0%
										IEX	1	0.1%	0	0.0%	1	0.1%	0	0.0%

Securities listed on Amex or any other national securities exchange

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
23	100.0%	0	0.0%	1	4.3%	22	95.7%	0	0.0%									
										ARCA	12	52.2%	1	100.0%	11	50.0%	0	0.0%
										IB_ATS	4	17.4%	0	0.0%	4	18.2%	0	0.0%
										AMEX	3	13.0%	0	0.0%	3	13.6%	0	0.0%
										DRCTEDGE	2	8.7%	0	0.0%	2	9.1%	0	0.0%
										ISLAND	1	4.3%	0	0.0%	1	4.5%	0	0.0%
										VIRTUDP	1	4.3%	0	0.0%	1	4.5%	0	0.0%

Listed Options

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%

	155	100.0%	0	0.0%	0	0.0%	120	77.4%	35	22.6%
NASDAQOM	40	25.8%	0	0.0%	40	33.3%	0	0.0%		
AMEX	38	24.5%	0	0.0%	28	23.3%	10	28.6%		
CBOE	21	13.5%	0	0.0%	1	0.8%	20	57.1%		
PSE	20	12.9%	0	0.0%	20	16.7%	0	0.0%		
GEMINI	13	8.4%	0	0.0%	13	10.8%	0	0.0%		
BATS	8	5.2%	0	0.0%	8	6.7%	0	0.0%		
ISE	4	2.6%	0	0.0%	0	0.0%	4	11.4%		
BOX	2	1.3%	0	0.0%	2	1.7%	0	0.0%		
MIAX	2	1.3%	0	0.0%	2	1.7%	0	0.0%		
PHLX	2	1.3%	0	0.0%	1	0.8%	1	2.9%		
CBOE2	2	1.3%	0	0.0%	2	1.7%	0	0.0%		
EDGX	2	1.3%	0	0.0%	2	1.7%	0	0.0%		
PEARL	1	0.6%	0	0.0%	1	0.8%	0	0.0%		

EXCHANGE NAME ABBREVIATIONS

AMEX	NYSE MKT / NYSE Amex Options
ARCA	NYSE Arca
BATS	BATS Z-EXCHANGE
BEX	NASDAQ OMX BX
BOX	Boston Option Exchange
BYX	BATS Y Exchange
CBOE	Chicago Board Options Exchange
CBOE2	Chicago Board Options Exchange 2
CITADELDP	Citadels Darkpool for US Equities
CSFBCROSS	CSFB CrossFinder
DRCTEDGE	BATS DIRECT EDGE
EDGEA	BATS EDGA Exchange
EDGX	BATS EDGX Exchange
GEMINI	ISE Gemini
IB ATS	Interactive Brokers Alternative Trading System
IEX	Investors Exchange
ISE	International Securities Exchange
ISLAND	Nasdaq
ITG	Investment Technology Group
JANE	Jane Street Execution Services LLC
MIAX	Miami Options Exchange
NASDAQOM	Nasdaq Options Market
NITEECN	KNIGHT LINK
NITEEXST	Knight Trading Exhaust Darkpool
NYSE	New York Stock Exchange
PDQ	PDQ ATS
PEARL	MIAX PEARL Exchange
PHLX	NASDAQ OMX PHLX
PSE	NYSE Arca Options
PSX	Nasdaq OMX PSX
UBSDARK	UBS Dark Pool
VIRTUDP	Virtu Financial Capital Markets LLC

ABBREVIATIONS

BASIC ORDER FLOW PROVIDER ROUTING REPORT

Orders# Non-Dir	The number of total orders from the provider that were non-directed orders.
Orders% Non-Dir	The percentage of total orders from the provider that were non-directed orders.
Orders# Direct	The number of total orders from the provider that were directed orders.
Orders% Direct	The percentage of total orders from the provider that were directed orders.
Market orders#	The number of total non-directed customer orders that were market orders.
Market orders%	The percentage of total non-directed customer orders that were market orders.
Limit orders#	The number of total non-directed customer orders that were limit orders.
Limit orders%	The percentage of total non-directed customer orders that were limit orders.
Other orders#	The number of total non-directed customer orders that were special handling orders and any orders that must be executed on a particular tick or bid.
Other orders%	The percentage of total non-directed customer orders that were special handling orders and any orders that must be executed on a particular tick or bid.
Venue	List of the ten venues to which the largest number of total non-directed orders were routed for execution and of any venue to which more than five percent or more of non-directed orders were routed for execution
Routed orders#	The number of total non-directed orders routed to the venue
Routed orders%	The percentage of total non-directed orders routed to the venue
Market orders#	The number of total non-directed market orders routed to the venue
Market orders%	The percentage of total non-directed market orders routed to the venue

Limit orders#	The number of total non-directed limit orders routed to the venue
Limit orders%	The percentage of total non-directed limit orders routed to the venue
Other orders#	The number of total non-directed other orders routed to the venue. Other orders include special handling orders and any orders that must be executed on a particular tick or bid.
Other orders%	The percentage of total non-directed other orders routed to the venue. Other orders include special handling orders and any orders that must be executed on a particular tick or bid.

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